

OPTICAL SILICONES ADVANCE NEW LED APPLICATIONS

JAKE STEINBRECHER AND GIFFORD SHEARER

October 2020

Dow Consumer Solutions - Lighting - Optical Silicones

LEDS ARE CONTINUING TO REVOLUTIONIZE LIGHTING

The LED lighting market is expected to exceed \$125B by 2027.

Lighting related energy consumption is expected to drop by 40% in 2030 thanks to LED penetration into general illumination.

Main growth drivers:

- High efficiency
- Reliability and robustness
- High brightness
- Condensed size and customized shapes
- Low power consumption





Agenda

- Benefits of silicones in LED lighting applications
- New applications enabled by silicones
 - Automotive adaptive drive beam forward lighting
 - High-powered sport stadium lighting
 - LED purification and disinfection lighting
- Processing and design benefits of silicone
 - Liquid molding process
 - Complimentary white reflective silicones
 - Extruded silicones for linear lighting applications





BENEFITS OF SILICONES IN LED APPLICATIONS

DOW Consumer Solutions - Lighting - Optical Silicones

SILICONES USED IN LED LUMINAIRES

- Adhesive and sealants
- Conformal coatings
- Thermal-interface materials
- LED Chip encapsulants
- Thermally-conductive pottants
- Secondary optics (lens)





MATERIAL OPTIONS FOR LED OPTICS

	SILASTIC TM Moldable Silicone	PC	РММА	Glass
Light transmission (%)	94	88-90	93	95
Refractive index	1.42	1.58	1.49	1.52
UV resistance	High	Low	Medium	High
Chemical resistance	Medium	Medium	Low	High
Service temperature max. (C°)	>150	120	90	>200
Yellowing*	Low	High	High	Low
Micro detail replication	High	Low	Medium	Low
Ability to mold large/ thick parts	High	Low	Low	Medium
Minimum thickness**	<0.5 mm	2 mm	2 mm	—
Draft angle ° (manufacturing)**	<0	1 to 2	1 to 2	_
Weight	Low	Medium	Medium	High
Flexible material — integration	High	Low	Low	Low

* Yellowing due to high temperature, high lumen density, or UV exposure

** Injection molding process



BENEFITS OF MOLDABLE OPTICAL SILICONES

- High clarity → Match LED efficiency
- Stability → LED lifetime/efficacy
- Impact resistance → Assembly
- Consistent performance → High and low temperatures
- Design flexibility → LED integration

Aging	Silicone (1)	PC (2)	PMMA (3)
Initial			R
Sunlight UV			
Heat 125°C			\bigcirc
Heat 150°C	0		NA
85°C/85%RH	0		

- 1. SILASTIC[™] MS-4002 Moldable Optical Silicone
- 2. SABIC Lexan 2180 (non-stabilized)
- 3. LUCITE Diakon CMG302 (non-stabilized)



WHAT SILASTICTM MOLDABLE OPTICAL SILICONES ARE

An enabling technology that is both *clear and tough*

LIQUID SILICONE RUBBER (LSR) = Silica particle reinforced: *hazy material*



Silica and/or other fillers impart strength and stability.

• Fillers cause haziness due to optical differences in refractive index between silica and PDMS.

MOLDABLE OPTICAL SILICONES (MS) = Siloxane resin reinforced: clear material



- Molds like LSR
- Transmits light like glass

Silicone resins impart strength and stability.

- Benefits of resin/polymer molecular backbone
 - Moisture resistance and thermal stability
 - Physical property variations
 - High purity and clarity
 - Injection molding properties



WHAT SILASTICTM MOLDABLE OPTICAL SILICONES DO

- Injection moldable for unique applications, including lenses (TIR, free-form), light guides, diffusers, reflectors, etc.
- Precisely control light, 'bend' light, replicate nano-scale optical features, uniformly diffuse or reflect light, be used in harsh environments/applications, enable high ingress (IP) and impact (IK) protection ratings, and more...



SILASTIC[™] MS-2002 White Reflector



WHY CHOOSE SILASTIC[™] MOLDABLE OPTICAL SILICONES?

- Use in harsh environments
 - Photo-thermal stability, UV heat, humidity, ...
- Ingress (IP) and Impact (IK) protection
- UL recognition for all products
 - UL94, UL746C(f1)(f8)
- Automotive recognition
 - AMECA (outdoor weathering), FMVSS (abrasion), SAE (impact), GMW (chemicals), fogging
- Efficient liquid injection molding
- Design flexibility in tooling and form factor
 - Undercuts, trapped features
 - Near perfect replication of optical surfaces and features





ammability	Value	Test Method
Flame Rating		
1.0 mm, NC	HB	UL 94
3.0 mm, NC	HB	UL 94
5.0 mm, NC	HB	UL 94
8.0 mm, NC	V-1, 5VA	UL 94 IEC 60695-11-10, -20
3.0 mm. NC	HB40	IEC 60695-11-10, -20
5.0 mm, NC	HB40	IEC 60695-11-10, -20
1.0 mm. NC	HB75	IEC 60695-11-10, -20
Glow Wire Flammability Index	1.010	IEC 60695-2-12
10 mm	940.10	120 0000 2 12
3.0 mm	90010	
5.0 mm	960 °C	
80 mm	900*0	
Glass Miss Institute Temperature		IEC 40405-2-12
10 mm	82510	120 00000-2-13
10 mm	90.10	
5.0 mm	960 *0	
8.0 mm	90.10	
lectrical	Vite	Test Method
Hotwire Ignition (HMI)	Take -	18.740
20 mm	PIC3	02,140
5.0 mm	PLC 3	
Link Ann An Innition (UAI)	PLO 2	18.748
10 mm	BLCO.	02740
20 mm	PLCO	
3.0 mm	PLCO	10.744
Comparative tracking index (C11)	PLCU	01/40
Dielectric Strength	24 kW/mm	IEC 60243-1
Volume Resistivity	1.0E+13 ohms-om	ASTM D257 IEC 60093
hemal	Value	Test Method
RTI Elec		UL 748
1.0 mm	150 °C	
3.0 mm	150 °C	
5.0 mm	150 °C	
8.0 mm	150 °C	

Page 1 of 2	Form Number: E40195-103405641
UL and the UL logo are trademarks of UL LLC Copyright @ 2018 All Rights Reserved. www.ul.com	Report Date: 9/11/2017
	Last Revised: 2018-04-06
ANDIAL Galemain test data daes not pertain to building materials. Specializes and related contents. ANDIAL Galemain	

ANDIUL, Se small-scale feet data does not perfam to building materials, fuminings and related contents. ANDIUL Se smallscale test data is intended solarly for determining the fammability of plasts materials used in the components and parts of endproduct devices and appliances, where the acceptability of the combination is determined by UL.

NEW APPLICATIONS ENABLED BY SILICONES

DOW Consumer Solutions - Lighting - Optical Silicones

CASE STUDY – ADAPTIVE DRIVE BEAM LENS

Dark or low-lighting conditions increase likelihood of a collision¹ Dark driving = 25% of automotive travel, but 52% of driver fatalities and 71% of pedestrian deaths





Potential solution	Limitations
Increase roadway illumination	Glare and reflections, infrastructure needed
Increase high beam usage by drivers	Glare and concern for oncoming drivers
Adaptative driving beam (ADB) implementation:	Long-range visibility without causing discomfort, distraction or glare

1. AAA "Comparison of European and U.S. Specification Automotive Headlamp Performance" April 2019.



SILASTIC[™] MS-1002 SILICONE ENABLES COMPLEX ADB LENS







*Designs are for reference only and are not meant to duplicate or infringe on any other design.





Link to case study



ADVANCES IN MOLDABLE OPTICAL SILICONES

As the **pioneers of moldable optical silicones**, we're leading silicone technology in lighting with more than 10 years of optical performance.



In response to market feedback Dow has commercialized the next generation of moldable optical silicones to provide benefits beyond the performance of MS-100X.

- Higher light transmittance for better optical clarity and longer path length applications.
- Higher hardness options with reduced surface tack to enable tougher more rigid molded parts.

Ideal for high-power LED general / specialty lighting and automotive lighting applications

• SILASTIC[™] MS-400X Silicones deliver better optical and aging performance in applications like stadium lighting and automotive lenses.



SILASTICTM MOLDABLE OPTICAL SILICONES: PHYSICAL PROPERTIES

	SILASTIC™ MS-1002 Silicone	SILASTIC™ MS-1003 Silicone	SILASTIC™ MS-4002 Silicone	SILASTIC™ MS-4007 Silicone
Viscosity, Part A (Pa-sec)	40	52	47	28
Viscosity, Part B (Pa-sec)	18	37.5	20	9.5
Viscosity, mixed (Pa-sec)	26.3	42.3	25	10.5
Specific gravity (g/cc)	1.07	1.05	1.08	1.08
Durometer (Shore A) *	72	51	84	70
Tensile strength (MPa) *	11.2	5.5	11.7	11.7
Elongation at break (%) *	80	325	60	100
Linear CTE (by TMA) (ppm/°C)	275	325	250	270

All SILASTIC[™] Moldable Optical Silicones are UL94 / UL746 / UL746C(f1)(f8) certified (see appendix for details). (*) Values after post-curing of parts at 150°C for 2 hours.



SILASTICTM MOLDABLE OPTICAL SILICONES: MECHANICAL PROPERTIES

Expanding the product range from soft and pliable *to* firm and tough.

- High elongation and high Shore A durometer → impact and scratch resistance
- Range of hardness' and material toughness → accurate part fixation, high IP rating







SILASTICTM MOLDABLE OPTICAL SILICONES: 'FEEL'

SILASTIC[™] MS-400X Silicone materials are designed to have a *slick*, plastic-like feel.

- Coefficient of friction (CoF) provides measure of surface tack.
- Higher number leads to more rubbery
 or tacky surface feel.
- Lower number can correlate to reduce dirt / dust depreciation of light output.
- Allows for more direct exposure applications (e.g., outdoor, industrial)



$$CoF = \frac{F_f}{F_n}$$





SILASTICTM MOLDABLE OPTICAL SILICONES: OPTICAL PROPERTIES

	Thickness (mm)	SILASTIC™ MS-1002 Silicone	SILASTIC™ MS-1003 Silicone	SILASTIC™ MS-4002 Silicone	SILASTIC™ MS-4007 Silicone
Refractive index n _D	-	1.4134	1.4088	1.4165	1.4125
Abbe number	-	52	51	52	51
	10	90	92	93	93
Luminous	25	84	89	90	91
transmittance* (%)	50	75	85	86	87
	100	59	77	79	80

(*) Weighted total transmittance between 360 and 780 nm according to CIE Colorimetry 15:2004





SILASTICTM MOLDABLE OPTICAL SILICONES: LIGHT PATH LENGTH EFFECT



■1 cm ■5 cm ■10 cm

- High luminous transmittance ^a
- Low chromatic dispersion (Abbe Number ca. 50)
- Low haze and scatter (SILASTIC[™] MS-1002 Silicone: <1% / 3mm) ^b



(a) Weighted total transmittance between 360 and 780nm according to CIE 15:2004 (b) Measured with haze-meter according to ISO14782 $\,$



LED 4000K white light source

Significantly reduced color shift (du'v') for SILASTIC[™] MS-4002 and MS-4007 Silicones in comparison with SILASTIC[™] MS-1002 Silicone.

DOW Consumer Solutions - Lighting - Optical Silicones

PHYSICAL PROPERTIES (POST MOLDING — SHORT-TERM AGING)

After a recommended post cure of 1-2 hours at 150°C, the mechanical properties are very stable at elevated temperatures.



*All materials tested were within acceptable QA limits



AWARD WINNING – OPTIC FOR SPORT STADIUM LIGHTING

SILASTIC[™] MS-4007 Moldable Optical Silicone was awarded a joint 2019 R&D Top 100 award for its use in sport stadium lighting.

SILASTIC[™] MS-4007 Silicone enables high-lumen optical systems that enhance the viewing experience.

- Excellent optical properties for large lens
- Design flexibility
- Ability to withstand long exposure to high density
- Excellent photo-thermal stability







GROWING MARKET FOR LEDS IN UV APPLICATIONS

- LEDs for UV (A, B, C) applications are rapidly growing at 19% a year and expected to exceed \$1 billion by 2026.
- Reduced costs and desire to eliminate mercury are propelling UV LEDs into more and more applications.
- COVID-19 has increased activity in UVC for disinfection and purification.





SILICONES FOR UV APPLICATIONS

Why silicone in UV applications?

- Stable under UV radiation exposure: non-yellowing, non-hardening
- High transmittance in UV wavelength range down to ca. 270
- Ease of fabrication by liquid injection molding
- Design freedom to mold complex lens
 geometries
- Enables water immersion
- High impact protection





MOLDABLE OPTICAL SILICONE: OPTICAL DATA IN UV WAVELENGTHS





MOLDABLE OPTICAL SILICONE: OPTICAL STABILITY UNDER UV EXPOSURE

UL 746 C – F1 rated (Xenon / underwater immersion exposure), and non-yellowing under UV exposure



SILASTIC[™] Moldable Optical Silicone UV



CASE STUDY FOR UV WATER PURIFICATION

SILASTIC[™] MS-1003 Moldable Optical Silicone was used by Philips Lighting to design an UV purification system for drinking water.

- Certified to UL-746C(f1)(f8)
- Extensively tested in UV-A, UV-A+B, UV-C and sunlight
- One- piece lens that is water and dust tight (IP 68) as compared to quartz assembly

Dow and Philips Lighting Collaborate to change the future of UV purification. Link to case study





PROCESSING AND DESIGN BENEFITS

DOW Consumer Solutions - Lighting - Optical Silicones

BENEFITS OF LIQUID MOLDING PROCESS

Traditional LSR

Silica and/or other fillers impart strength and stability.

Moldable Optical Silicones

Silicone resins impart strength and stability. Benefits of resin/polymer molecular backbone

- Moisture resistance and thermal stability
- High purity and clarity
- · Very low viscosity to enable injection molding or complex parts









SILASTICTM MOLDABLE OPTICAL SILICONES: VISCOSITY

Heat influences viscosity of **Moldable Silicone** – very sensitive to temperature.

Advantages

- Ease of fabrication through liquid injection molding
- Good flow allows for complex-part geometry
- Excellent reproduction of mold features

Challenges

- Easily turbulent
- Higher potential for flash in tooling

DOW Consumer Solutions - Lighting - Optical Silicones





SILASTIC[™] MOLDABLE OPTICAL SILICONE: CURE RATE

Cure properties tuned for optic and mold design

- Cure profile allows filling of complex geometry in liquid state
- Reduced gelation period can reduce cycle time and defects
- Quick to cured and handle-able part

SILASTIC[™] Moldable Optical Silicones datasets are now available for the following Moldflow Analysis Software*:

- SIGMASOFT
- Moldex3D

* SILASTICTM MS-1002 Silicone available, SILASTICTM MS-400X Silicones in progress



DESIGN BENEFITS ENABLED BY SILICONES

- Mold shapes impossible in plastics or glass
- One-piece Compound Lens eliminates holder or alignment fixture
- Integrate optics with sealing features
- Simplify design less components
- Replicate micro-surface features
- Create unique light
 effects









COMPLIMENTARY MATERIAL - WHITE REFLECTING SILICONE SOLUTIONS

Why is reflectivity important?

It influences light output performance of lighting fixtures.

Material	Reflectivity	Designed process
SILASTIC TM MS-2002 (LSR)	>98%	Injection molding
SILASTIC [™] ES-3001 (HCR)	>98%	Extrusion molding
SI-2001 (Ellsworth ResinLab)	>96%	Spray / dip coating

Reflectivity of mixing chamber	Remote phosphor system improvement
99%	30%
98%	22%
97%	19%
96%	15%
95%	11%





Source: Internatix – Application Note: Mixing Chamber Design Considerations for Chromalit Remote Phosphor Light Sources



THERMAL AND UV STABILITY OF SILASTIC[™] MS-2002 SILICONE



Thermally-aged reflection @ 150° C

- Outstanding stability against high heat and UV exposure
- Lambertian light diffusion pattern

UV-aged reflection @ 1W/m², 340 nm

SILASTIC[™] MS-2002 WHITE REFLECTING MOLDABLE SILICONE

SILASTIC™ MS-2002 Moldable Silicone			
Mixing ratio	1:1		
Viscosity mix A+B (1 sec ⁻¹ ; 25°C, mPa•s)	650,000		
SG (g/ml)	1.44		
Light reflectance (thickness = 3mm)	97%R (450 nm) 99%R (630 nm)		
Hardness (Shore A)	84		
Tensile strength (MPa)	8.6		
Elongation (%)	86		
CTE (ppm/ºC)	210		
Pot life (hrs, 2X viscosity @25ºC)	48		
UL recognition	UV94 / UL746C(f1)(f8)		

Dow and Pathway Lighting developed tunable LED fixture with SILASTIC[™] MS-2002 Silicone. Link to case study





Now, what if one LED light could achieve these different effects changing from warm to cool and back again?

Pathway Limbing Products a Jobiled follow manufactured located in Old Saybrook, Connecticul, wanted to create a funable, white LED received downlight for applications like this. The downlight would feature a wide range of color temperatures:

For the light's engine, they worked with LED Engin, Inc., based in California's Silicon Valley. For the reflector, Pathway Lighting wanted a material that would provide reflection across the light spectrum. They reached out to Dow for recommendations

The challenge

evening settle in.

from 2100K to 4000K.

better solution, including one that could be intection molded. We have used reflective films, and the biggest setback for us with films is the difficulty at installation," sold Jeremy Mularski, solid state lighting engineer at Pathway Lighting.

Working with the Dow lighting experts, we found that the erformance specifications and the flexibility of our selected allicone really proved to be the deciding factor." he said.

Pathway Lighting decided to make a parabolic reflector formed from SILASTIC1** MS-2002 Moldade White Reflector Sticone. This loaid alloone rubber (LSR) targets reflectivity up to 99 percent let 630 nm), and it also delivers excedent thermal, mechanical Imagine a hospital or residential care facility in the early morning and optical stability at temperatures as high as 150°C without hours. Very warm lighting bathes the halls and common areas as yellowing or physical degradation.

residents and patients begin their day. As the day progresses, the "We looked at three primary areas when deciding. First, the lighting changes subly, until it maches a brighter white. Later, the harmal properties of SEASTIC14 MS-2002 Moldable Silicone lighting color begins to warm, and patients or residents feel the Nms and coated metals. Second, the allcone's reflectance was Schools, setsuriants, hotels and other locations can banefit from the same or better than competitive reflective line and costed a changing spectrum of light to set moods. Other applications metals. But when it came to both flexibility of design and ease of might impact health and wellbeing - sending algrate of the day installation, these were the silicone's man benefite on our end," ebbing and flowing - and possibly supporting circadian rhythma. and Mularski.





SILICONES FOR EXTRUDED LIGHTING APPLICATIONS

NOVEL DESIGN CONCEPT FOR A 3D FLEXIBLE LED LINEAR LIGHTING DEVICE



All silicone solution

- High tensile and elongation, enabling 3D, flexible LED linear lighting design
- Heat / humidity / UV / flame resistant
- Extrusion / co-extrusion enabling continuous manufacturing (cost-effective)
- Reflectance: ≥ 97% (Lambertian distribution)



(3) Extrudable optically-transparent HCR _____
 (2) Optical encapsulant _____
 LED strip _____
 (1) SILASTIC[™] ES-3001 Extrudable _____
 white-reflective HCR



IMAGINE THE POSSIBLE APPLICATIONS WITH FLEXIBLE LINEAR LIGHTING

Targeted applications

- Flexible LED lighting fixtures
- High Ingress Protection (IP) and Impact Protection (IK) ratings
- Automotive lighting: rear-, front-, body-, interior / exterior
- Architectural lighting: RGBW LED's colors mixing, warm to cold white
- Signaling lighting (outdoor): RGBW LED's colors mixing, warm to cold white





FINAL REMARKS - SILICONES ADVANCE LED APPLICATIONS

Only silicones provide the design flexibility, optical performance and long-term stability in harsh environments.

SILASTIC[™] MS Materials enable new lighting applications, providing many benefits:

- Photo-thermal and environmental stability
- Ingress (IP) and Impact (IK) protection
- Design flexibility
- UL recognition for all products
 - UL94, UL746C(f1)(f8)
- Automotive recognition:
 - AMECA (outdoor weathering), FMVSS (abrasion), SAE (impact), GMW (chemicals), Fogging
- Efficient liquid injection molding
- Design flexibility in tooling and form factor
 - Undercuts, trapped features
- Near perfect replication of optical surfaces and features







SILASTIC[™] MATERIALS FOR OPTICS AND LIGHTING

Visit our <u>website</u> for more information including design examples, data sheets and case studies.







GLOBAL TEAM READY TO COLLABORATE

Technical services and development (TS&D) support

- François de Buyl, Kevin Van Tiggelen, Martijn Beukema: Dow Silicones Belgium, Seneffe (EU)
- Jake Steinbrecher, Rachel Rademacher: Dow Silicones Midland, Michigan (US)
- **Qing Shi:** Dow Silicones China, Shanghai (CH)
- Osamu (Sam) Mitani: Dow Silicones Japan, Chiba (JP)
- Junghyun H. Lee: Dow Silicones Korea, Jincheon (KR)

Product development platform leader

• Vennesa Jansma: Dow Silicones Midland, Michigan (US)

Marketing

- **Gifford Shearer:** Dow Silicones Midland, Michigan (US)
- Mark Bradford: Dow Silicones UK Ltd. (UK)
- Yasuyuki Kubode: Dow Silicones Japan, Tokyo (JP)





THANK YOU

The information contained in this communication does not constitute an offer, does not give rise to binding obligations, and is subject to change without notice to you. The creation of binding obligations will occur only if an agreement is signed by authorized representatives of Dow and your company. Any reference to competitor materials contained in this communication is not an endorsement of those materials by Dow or an endorsement by the competitor of Dow materials.

To the fullest extent permitted by applicable law, Dow disclaims any and all liability with respect to your use or reliance upon the information. DOW DOES NOT MAKE ANY WARRANTY OR REPRESENTATION, EXPRESS OR IMPLIED, WITH RESPECT TO THE UTILITY OR COMPLETENESS OF THE INFORMATION AND DISCLAIMS THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. DOW DISCLAIMS LIABILITY FOR ANY INCIDENTAL OR CONSEQUENTIAL DAMAGES.

®™Trademark of The Dow Chemical Company ("Dow") or an affiliated company of Dow

© 2020 The Dow Chemical Company. All rights reserved.

Form No. 11-4160-01-0920